

SPANGLE MARKETING LIMITED

P-103, PRINCEP STREET, 3RD FLOOR, ROOM NO. 24
KOLKATA-700 072, PHONE : 2237-0373 / 5021
CIN : L51311WB1984PLC050209
E-mail : parida.1995@rediffmail.com
www.spanglemarketing.in

Date:20.12.2021

To
Listing Department
Metropolitan Stock Exchange of India Limited
Building A, Unit 205A, 2nd Floor,
Piramal Agastya Corporate Park,
L.B.S. Road, Kurla West,
Mumbai-400070
Phone:022-6112 9000

Ref: Symbol; SPANGLE, Series; BE

Dear Sir,

Sub: Disclosure pursuant to provision of Regulation 30 of SEBI (LODR) Regulations, 2015.

With reference to the above subject. We would like to intimate you that Summon, notice received from the Court of LD. Chief Judicial Magistrate, Alipore, Kolkata regarding case No. 2407/2021 filed by the Registrar of Companies, West Bengal.

As per provisions of Regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 thereof read with Policy of Materiality of Events or Information. The following disclosures is as follows:

Sl. No.	Particulars	Disclosures
1	Summon	In the name of Sri Sushanta Kumar Parida , Whole Time Director of Spangle Marketing Limited of Registered office at P-103, Princep Street, 3 rd Floor, Room No. 24, Kolkata- 700072.
2	Notice - Case no. 2407/2021	Regarding complaint for violation of Section 204(1) of the Companies Act, 2013 read with Section 439(2) of the Companies Act, 2013 and Section 200 of the Code of Criminal Procedure, 1973.



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We have taken step to resolved/closed the above matter & updating material developments on regular basis to the Exchange accordingly.

Please take the same on your record.

Thanking You,
Yours faithfully,
For Spangle Marketing Limited


Satyananda Nayak
Company Secretary.
Membership No.: ACS21997



Encl: As Above.

SUMMONS TO AN ACCUSED PERSON

[No. 1, SCHEDULE V, ACT V, 1898]

(Section 68 of the Code of Criminal Procedure)

C-2407/21

To SAANGLE MARKETING LTD, 3073
① Sushanta Kumar Parida

of
P-103, Princep Street 3rd Floor Room
No-24 Cal - 700072.

WHEREAS your attendance is necessary to answer to a charge of

* State the offence
charged.

MS - 439(2) comp. Act.

M 1196/21



† As the case may
be.

you are hereby required to appear in person/by pleader † before the
of Ld Jm.

on the _____ day of _____ 20____, at ten o'clock
in the forenoon. Herein fail not. 30.12.21

Given under my hand and the seal of the Court this 25 day
of 11 20 21.

Magistrate.
Chief Judicial Magistrate
South 24 Parganas, Alipore

(Reverse)

AFFIDAVIT

I, _____
son of _____
do hereby declare on solemn affirmation that on _____ day,
the _____ 20 _____, I served a copy of the summons on the
reverse issued against

son of _____
of _____; on the said person by making it over to
him personally, or by leaving it with an adult member of his family, named
_____ who lives with him, or by affixing it to a
conspicuous part of his house or residence.

Dated this _____ day of _____ 20 _____

The truth of the above was sworn (or
solemnly affirmed) by _____
in my presence.

Magistrate.

The _____ 20 _____

IN THE COURT OF LD. CHIEF JUDICIAL MAGISTRATE AT ALIPORE

Case no.- C/ 2407 /2021

THE REGISTRAR OF COMPANIES, WEST BENGAL having its office at Nizam Palace, 2nd M.S.O. Building, 2nd Floor, 234/4, A.J.C. Bose Road, Kolkata - 700 020.

Represented through: Mrinal Kanti Barua. ICLS, Deputy Registrar of Companies. West Bengal.

.....Complainant

-Versus-

Sl. No.	NAME OF ACCUSED	ADDRESS
1.	SPANGLE MARKETING LTD. (CIN: L51311WB1984PLC050209)	REGD.OFFICE: P-103 PRINCEEP STREET 3RD FLOOR ROOM NO 24 KOLKATA WB 700072 IN
2.	SUSHANTA KUMAR PARIDA i. (Director from 24.11.199 to 24.12.2014) ii. (Wholetime Director since 24.12.2014)	6/1, bijaygarhkolkata, 700032, West Bengal, India

.....Accused

COMPLAINT UNDER SECTION 439(2) OF THE COMPANIES ACT, 2013 READ WITH SECTION 200 OF THE CODE OF CRIMINAL PROCEDURE, 1973:

The Complainant respectfully sheweth as under:

1. That the accused no. 1 herein is. SPANGLE MARKETING LTD. (hereinafter referred to as "company"), which was incorporated on 07.07.1984 under the Companies Act, 1956/2013 and the registered office of the company is situated under the jurisdiction of the office of the complainant.
2. That the accused no. 2 herein is the Wholetime Directors/officer of the company, who was on Board during all relevant times and thus is officer-in-default in terms of section 204(4) r/w 2(60)(i) of the Companies Act, 2013.
3. That section 204 of the Companies Act, 2013 read with Rule 9 of the companies (appointment and remuneration of Managerial Personnel) Rules 2014, provides that - *"Every listed company OR every public Company having a paid-up share capital of fifty crores rupees or more, OR every public company having turnover of 250 crore rupees or more shall annex with its Board's report made in terms sub practice, in form MR-3 Prescribed under the said rule.*
4. That the office of Director General of Corporate Affairs, Ministry of Corporate Affairs, New Delhi has noticed the following violation of the provisions of Companies Act, 2013 by the company:

VIOLATION OF SECTION 204 OF THE COMPANIES ACT, 2013:

That in view of the form AOC-4 filed by the company for the financial year ended on 31/03/2017, it has been noticed that the company has not complied with the provision of

Mrinal Kanti Barua

Section 204 (1) of the Companies Act 2013 read with Rule 9 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules 2014 as the company has failed to attach Secretarial Audit Report in form MR-3, while it was under statutory duty to attach the same with the Board's report and file the same in the form AOC-4.

Hence the accused herein have violated the provisions of Section 204 (1) of the Companies Act 2013 read with Rule 9 of the Companies (appointment and remuneration of managerial personnel) Rules 2014.

5. The aforesaid violation was brought to the notice of the company and its directors/officers by the Ministry through Show Cause Notice vide F.No. C/RC301/150/2019/204/16-17 dated 04.11.2019 issued via email; wherein the Ministry has sought response of the company over the aforesaid violation within 15 days from the date of the notice.
6. That the company replied to the aforesaid charge over the CMS Portal of Ministry vide CMS reference No. C/RC301/150/2019/204/16-17 dated 12.12.2019, wherein the company has submitted as under:

"Sir by slip of mind that report was not submitted and not included in the XBRL, as the Company taking service of outside agencies for converting financial data in to XML."

7. That the reply of the Company has been examined by the competent authority of the Ministry and the same has been found unsatisfactory. Rather, the Company has made admission of non-compliance.

Hence, the accused herein have violated the provisions of section 204(1) of the Companies Act, 2013 for the financial year 2016-17, for which they render themselves liable under section 204(4) of the Companies Act, 2013.

8. That accordingly the Ministry has accorded its sanction to file prosecution against the company and its officers-in-default for violation of section 204 of the Companies Act, 2013. Vide F.No. 3/133/2020/CL-II(ER&NER) dated 17.06.2021.
9. That the instant complaint is well within the period of limitation as prescribed under section 468 of the code of criminal procedure, 1973.
10. That this Learned Court has jurisdiction to try the offence as the office of the Complainant is situated at Nizam Palace, 2nd M.S.O. Building, 2nd Floor, 234/4, A.J.C. Bose Road, Kolkata - 700020.

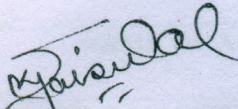
PRAYER

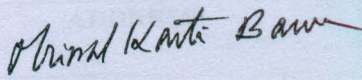
11. That the complainant most humbly prays before the learned court to:
 - (i) take cognizance of the offence complained of herein;
 - (ii) issue process against the accused herein to secure their attendance before the learned court to answer to the charge u/s 204(1) of the Companies Act, 2013, try them for the said charge and punish them u/s 204(4) of the Companies Act, 2013;
 - (iii) pass such order under section 446 of the Companies Act, 2013 read with section 357 Cr.P.C. as to the costs of the proceeding as might appear just and proper at the time of imposing fine;
 - (iv) exempt the complainant from examination u/s 200 Cr.P.C. as the complainant herein is a public servant filing this complaint in discharge of his official duties;

- (v) dispense with the personal attendance of the complainant in terms of proviso to section 256 Cr.P.C. read with section 439(3) of the Companies Act, 2013, as the complainant herein is a public servant and is represented through Company Prosecutor appointed under section 443 of the Companies Act, 2013 read with section 24 Cr.P.C;
- (vi) pass such other order(s) as may be deemed fit and proper in the interest of justice.

And for this act of kindness your petitioner as in duty bound shall ever pray.

DATED THIS THE 22 DAY OF 09, 2021.


(Sumit Kumar Jaiswal)
Company Prosecutor


(Mrinal Kanti Barua, ICLS)
Deputy Registrar of Companies,
West Bengal, Kolkata.

LIST OF WITNESS:

1. An official of the office of the Registrar of Companies, West Bengal and others.